EFS-Web Receipt date: 01/26/2006

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Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS. ♣O. Box 1450, Alexandria, VA 22313-1450 on January 24, 2006.

Briah W. Hameder (Reg. No. 45,613)
Name

Signature

Group Art Unit:

DOCKET: CU-4152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James Bruce FRANKLIN et al.

Serial No.: 10/531,759

Filed: April 18, 2005 Examiner:

For: A LIGHT TRANSFER COMPONENT

THE COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR_A97(b).

Date January 24, 2006

Signature of Attorney Brlan W. Hameder Ladas & Parry LLP 224 South Michigan Avenue Chicago Illinois 60604 Tel. No. (312) 427-1300 Reg. No. 45613 EFS-Web Receipt date: 01/26/2006

Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on January 24, 2006.

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INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith patents, publications or other information of which the Applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise. Also, if the present application was filed after June 30, 2003, copies of US patents or published applications are not submitted in accordance with the USPTO Rule changes.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

Additional comments, if any, on the relevance of each reference listed are provided as follows:

Also submitted herein is a copy of the European Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).

The Statement is made on the basis of the information:

X	supplied by the inventor(s); supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)); or
	in the attorney's file.

Respectfully submitted,

Date: January 24, 2006

Signature of Attorney Brian W. Hameder Ladas & Parry LLP 224 South Michigan Avenue Chicago Illinois 60604 Tel. No. (312) 427-1300 Reg. No. 45613

Form PTO-1449 (Modified)

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FORM PTO-1449				ATTY. DOCKET NO.		SERIAL NO.	
				CU-4152		10/531,759	
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ST	ATEMENT BY AP	PLICANT		James Bruce FRANKLIN et al.			
(07.050 4.004.))				FILING DATE		GROUP	
(37 CFR 1.98(b))			April 18, 2005	April 18, 2005		L	
		U.S. P	ATENT DOCUM	ENTS			
EXAMINER INITIAL	PATENT DOCUMENT	ISSUE/PUB DATE	PATENTEE	CLASS	SUB-	FILING DATE	
	5.548.490	20.08.1996	Smith et al.	+	CLASS	-	
	4,368,384	11.01.1983	Kato et al.	+	~~~		
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	FOREIGN PA	TENT OR PU	BLISHED FOREIG	N PATEN	T APPLI	CATION	
EXAMINER INITIAL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION YES NO	
	JP 61105511	23.05.1986	Japan			 	
	JP 63318503	27.12.1988	Japan	1			
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(Form PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.K./ (03/31/2008)

OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)